

# An Optimal Lower Bound for Monotonicity Testing over Hypergrids

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**Abstract:** For positive integers  $n, d$ , the hypergrid  $[n]^d$  is equipped with the coordinatewise product partial ordering denoted by  $\prec$ . A function  $f : [n]^d \rightarrow \mathbb{N}$  is monotone if  $\forall x \prec y, f(x) \leq f(y)$ . A function  $f$  is  $\varepsilon$ -far from monotone if at least an  $\varepsilon$  fraction of values must be changed to make  $f$  monotone. Given a parameter  $\varepsilon$ , a *monotonicity tester* must distinguish with high probability a monotone function from one that is  $\varepsilon$ -far.

We prove that any (adaptive, two-sided) monotonicity tester for functions  $f : [n]^d \rightarrow \mathbb{N}$  must make  $\Omega(\varepsilon^{-1} d \log n - \varepsilon^{-1} \log \varepsilon^{-1})$  queries. Recent upper bounds show the existence of  $O(\varepsilon^{-1} d \log n)$  query monotonicity testers for hypergrids. This closes the question of monotonicity testing for hypergrids over arbitrary ranges. The previous best lower bound for general hypergrids was a non-adaptive bound of  $\Omega(d \log n)$ .

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## 1 Introduction

Given query access to a function  $f$ , the area of *property testing* [21, 17] deals with the problem of determining properties of  $f$  without accessing all its inputs. Monotonicity testing [16] is a classic problem

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in property testing. Consider a function  $f : \mathbf{D} \rightarrow \mathbf{R}$ , where  $\mathbf{D}$  is a finite set equipped with a partial order given by “ $\prec$ ,” and  $\mathbf{R}$  is a set equipped with a total order. The function  $f$  is monotone if for all  $x \prec y$  (in  $\mathbf{D}$ ),  $f(x) \leq f(y)$ . The *distance to monotonicity* of  $f$  is the minimum fraction of values that need to be modified to make  $f$  monotone. More precisely, let the distance between functions  $d(f, g)$  be  $|\{x : f(x) \neq g(x)\}|/|\mathbf{D}|$ , and let  $\mathcal{M}$  be the set of all monotone functions. Then the distance to monotonicity of  $f$  is  $\min_{g \in \mathcal{M}} d(f, g)$ . (This minimum always exists since  $\mathbf{D}$  is finite.)

A function is called  $\varepsilon$ -far from monotone if the distance to monotonicity is strictly greater than  $\varepsilon$ . A *property tester for monotonicity* is a, possibly randomized, algorithm that takes as input a distance parameter  $\varepsilon \in (0, 1)$ , error parameter  $\delta \in [0, 1]$ , and query access to an arbitrary  $f$ . If  $f$  is monotone, then the tester must accept with probability  $> 1 - \delta$ . If it is  $\varepsilon$ -far from monotone, then the tester rejects with probability  $> 1 - \delta$ . If neither, then the tester is allowed to do anything. The aim is to design a property tester making as few queries as possible to the function. A tester is called *one-sided* if it always accepts a monotone function. A tester is called *non-adaptive* if the queries made do not depend on function values returned in the previous queries. The most general tester is an adaptive, two-sided tester.

Monotonicity testing has a rich history and the hypergrid domain,  $[n]^d$ , has received special attention. The boolean hypercube ( $n = 2$ ) and the total order ( $d = 1$ ) are special instances of hypergrids. Following a long line of work [13, 16, 12, 19, 15, 1, 14, 18, 20, 2, 3, 4], previous work of the authors [10] shows the existence of  $O(\varepsilon^{-1} d \log n)$ -query monotonicity testers. The result in this paper is a matching lower bound that is optimal in all parameters for functions of unbounded range.

**Theorem 1.1.** *Any adaptive, two-sided monotonicity tester for functions  $f : [n]^d \rightarrow \mathbb{N}$  requires*

$$\Omega\left(\frac{d \log n - \log \varepsilon^{-1}}{\varepsilon}\right)$$

*queries, assuming  $\varepsilon > n^{-d}$ .*

## 1.1 Previous work

The problem of monotonicity testing was introduced by Goldreich et al. [16], who demonstrated a  $O(n/\varepsilon)$  tester for functions  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ . The first tester for general hypergrids was given by Dodis et al. [12]. The upper bound of  $O(\varepsilon^{-1} d \log n)$  for monotonicity testing was recently proven in [10]. We refer the interested reader to the introduction of [10] for a more detailed history of previous upper bounds.

There have been numerous lower bounds for monotonicity testing. Following the work of Ergun et al. [13] who demonstrated an  $\Omega(\log n)$  lower bound for *non-adaptive* monotonicity testers, for the total order  $\mathbf{D} = [n]$ , Fischer [14] gave an  $\Omega(\log n)$  lower bound for adaptive monotonicity testers as well over  $[n]$ . For the hypercube domain, Fischer et al. [15] proved a  $\Omega(\sqrt{d})$  lower bound for non-adaptive, one-sided testers (this lower bound holds even for  $\{0, 1\}$ -ranged functions), which was improved to a  $\Omega(d/\varepsilon)$  lower bound by Briet et al. [8]. Using an ingenious reduction from communication complexity, Blais, Brody and Matulef [4] proved an  $\Omega(d)$  lower bound for adaptive, two sided testers. Honing this reduction, Brody [9] improved it to an  $\Omega(d/\varepsilon)$  lower bound. For the hypergrid domain, the only lower bound known was an  $\Omega(d \log n)$  for non-adaptive testers by Blais, Raskhodnikova, and Yaroslavtsev [5] using communication complexity techniques.

We note that our theorem only holds when the range is  $\mathbb{N}$ , while some previous results hold for restricted ranges. The results of [4, 9] provide lower bounds for range  $[\sqrt{d}]$  and that of Blais et al. [5] hold for the range  $[nd]$ . For these settings, the communication complexity reductions provide stronger lower bounds than our result.

## 1.2 Preliminaries and main ideas

We start with a formal definition of a tester. Consider the family of functions  $f : \mathbf{D} \rightarrow \mathbf{R}$ , where  $\mathbf{D}$  is some partial order, and  $\mathbf{R} \subseteq \mathbb{N}$ . We assume that  $f$  always takes distinct values, so  $\forall x, y, f(x) \neq f(y)$ . Since we are proving lower bounds, this is no loss of generality.

**Definition 1.2.** An algorithm  $\mathcal{A}$  is a  $(t, \varepsilon, \delta)$ -monotonicity tester if  $\mathcal{A}$  has the following properties. For any  $f : \mathbf{D} \rightarrow \mathbf{R}$ , the algorithm  $\mathcal{A}$  makes  $t$  (possibly randomized) queries to  $f$  and then outputs either “accept” or “reject.” If  $f$  is monotone, then  $\mathcal{A}$  accepts with probability  $> 1 - \delta$ . If  $f$  is  $\varepsilon$ -far from monotone, then  $\mathcal{A}$  rejects with probability  $> 1 - \delta$ .

Given a positive integer  $s$ , let  $\mathbf{D}^s$  be the  $s$ -fold Cartesian product of  $\mathbf{D}$ . We define two symbols `acc` and `rej`, and denote  $\mathbf{D}^t = \mathbf{D} \cup \{\text{acc}, \text{rej}\}$ . Any  $(t, \varepsilon, \delta)$ -tester can be completely specified by the following family of functions. For all  $s \leq t$ ,  $\mathbf{x} \in \mathbf{D}^s$ ,  $y \in \mathbf{D}^t$ , we consider a function  $p_{\mathbf{x}}^y : \mathbf{R}^s \rightarrow [0, 1]$ , with the semantics that for any  $\mathbf{a} \in \mathbf{R}^s$ ,  $p_{\mathbf{x}}^y(\mathbf{a})$  denotes the probability the tester queries  $y$  as the  $(s + 1)$ th query, given that the first  $s$  queries are  $\mathbf{x}_1, \dots, \mathbf{x}_s$  and  $f(\mathbf{x}_i) = \mathbf{a}_i$  for  $1 \leq i \leq s$ . These functions satisfy the following properties.

$$\forall s \leq t, \forall \mathbf{x} \in \mathbf{D}^s, \forall \mathbf{a} \in \mathbf{R}^s, \quad \sum_{y \in \mathbf{D}^t} p_{\mathbf{x}}^y(\mathbf{a}) = 1, \tag{1.1}$$

$$\forall \mathbf{x} \in \mathbf{D}^t, \forall y \in \mathbf{D}, \forall \mathbf{a} \in \mathbf{R}^t, \quad p_{\mathbf{x}}^y(\mathbf{a}) = 0. \tag{1.2}$$

(1.1) ensures the decisions of the tester at step  $(s + 1)$  must form a probability distribution. (1.2) implies that the tester makes at most  $t$  queries. Any adaptive tester can be specified by these functions. The important point to note is that these are finitely many functions; their number is at most  $t|\mathbf{D}|^{t+1}$ .

The starting point of this work is the result of Fischer [14] who proved an adaptive lower bound for monotonicity testing for functions  $f : [n] \rightarrow \mathbb{N}$ . He shows that adaptive testers can be reduced to what we call *comparison-based testers* ([14] calls them order-based testers). In plain English, comparison-based testers are adaptive testers whose decision on where to query at time  $s + 1$  depends only on the *order* of the function values at the  $s$ -query points so far, and not on the value themselves. Such a reduction is done using Ramsey theory arguments, in turn inspired by the work of Breslauer et al. [7]. Our starting point is an observation that Fischer’s proof goes through for *every* partial order, and not just the total order  $[n]$ . To define comparison-based testers formally, we need some notation.

For any positive integer  $s$ , let  $\mathbf{R}^{(s)}$  denote the set of *unordered* subsets of  $\mathbf{R}$  of cardinality  $s$ . We introduce new functions as follows. With each  $s$ ,  $\mathbf{x} \in \mathbf{D}^s$ ,  $y \in \mathbf{D}^t$ , and *each permutation*  $\sigma : [s] \rightarrow [s]$ , we associate functions  $q_{\mathbf{x}, \sigma}^y : \mathbf{R}^{(s)} \rightarrow [0, 1]$ , with the semantics

$$\text{For any set } S = (a_1 < a_2 < \dots < a_s) \in \mathbf{R}^{(s)}, \quad q_{\mathbf{x}, \sigma}^y(S) := p_{\mathbf{x}}^y(a_{\sigma(1)}, \dots, a_{\sigma(s)}).$$

That is,  $q_{\mathbf{x},\sigma}^y(S)$  sorts the answers in  $S$  in increasing order, permutes them according to  $\sigma$ , and passes the permuted ordered tuple to  $p_{\mathbf{x}}^y$ . These  $q$ -functions allow us to formally define comparison-based testers.

**Definition 1.3.** A monotonicity tester  $\mathcal{A}$  is *comparison-based* for functions  $f : \mathbf{D} \rightarrow \mathbf{R}$  if for all  $s, \mathbf{x} \in \mathbf{D}^s, y \in \mathbf{D}'$ , and permutations  $\sigma : [s] \rightarrow [s]$ , the function  $q_{\mathbf{x},\sigma}^y$  is a constant function on  $\mathbf{R}^{(s)}$ . In other words, the  $(s+1)$ th decision of the tester given that the first  $s$  questions is  $\mathbf{x}$ , depends only on the *ordering* of the answers received, and not on the values of the answers.

It is not too hard to see that a comparison-based tester for the domain  $[n]$  can be easily converted to a non-adaptive tester, for which an  $\Omega(\log n)$  bound was previously known [13]. This is not true for the hypergrid domain in general. To circumvent this, we first focus on the hypercube domain. As is standard, we define a distribution over functions, one of which is monotone and the others  $\varepsilon$ -far from monotone, and show that any *deterministic* comparison-based tester making few queries cannot be correct most of the time. Our monotone function is in fact the “decimal notation” of the binary vector which “mimics” a total order from 0 to  $2^d - 1$ . This can now be used to argue that any comparison-based tester is essentially non-adaptive for which a lower bound follows easily. Finally, for hypergrids, we give an easy reduction to hypercubes.

## 2 The reduction to comparison-based testers

**Theorem 2.1.** *Suppose there exists a  $(t, \varepsilon, \delta)$ -monotonicity tester for functions  $f : \mathbf{D} \rightarrow \mathbb{N}$ . Then there exists a comparison-based  $(t, \varepsilon, 2\delta)$ -monotonicity tester for functions  $f : \mathbf{D} \rightarrow \mathbb{N}$ .*

As stated in the previous section, the above theorem is implicit in the work of Fischer [14] who proved it only for  $\mathbf{D} = [n]$ . We provide a proof for completeness. Call a monotonicity tester *discrete* if the corresponding functions  $p_{\mathbf{x}}^y$  satisfying constraints (1.1), (1.2) can only take values in  $\{i/K : 0 \leq i \leq K\}$  for some finite  $K$ .

**Lemma 2.2.** *Suppose there exists a  $(t, \varepsilon, \delta)$ -monotonicity tester  $\mathcal{A}$  for functions  $f : \mathbf{D} \rightarrow \mathbb{N}$ . Then there exists a discrete  $(t, \varepsilon, 2\delta)$ -monotonicity tester for such functions.*

*Proof.* We do a rounding on the  $p$ -functions. Let  $K = 100t|\mathbf{D}|^t/\delta^2$ . Start with the  $p$ -functions of the  $(t, \varepsilon, \delta)$ -tester  $\mathcal{A}$ . For  $y \in \mathbf{D} \cup \text{acc}$ ,  $\mathbf{x} \in \mathbf{D}^s$ ,  $\mathbf{a} \in \mathbf{R}^s$ , let  $\hat{p}_{\mathbf{x}}^y(\mathbf{a})$  be the largest value in  $\{i/K \mid 0 \leq i \leq K\}$  which is at most  $p_{\mathbf{x}}^y(\mathbf{a})$ . Set  $\hat{p}_{\mathbf{x}}^{\text{rej}}(\mathbf{a})$  so that (1.1) is maintained.

Note that for  $y \in \mathbf{D} \cup \text{acc}$ , if  $p_{\mathbf{x}}^y(\mathbf{a}) > 10t/(\delta K)$ , then

$$\left(1 - \frac{\delta}{10t}\right) p_{\mathbf{x}}^y(\mathbf{a}) \leq \hat{p}_{\mathbf{x}}^y(\mathbf{a}) \leq p_{\mathbf{x}}^y(\mathbf{a}).$$

Furthermore,  $\hat{p}_{\mathbf{x}}^{\text{rej}}(\mathbf{a}) \geq p_{\mathbf{x}}^{\text{rej}}(\mathbf{a})$ .

The  $\hat{p}$ -functions describe a new discrete tester  $\mathcal{A}'$  that makes at most  $t$  queries. We argue that  $\mathcal{A}'$  is a  $(t, \varepsilon, 2\delta)$ -tester. Given a function  $f$  that is either monotone or  $\varepsilon$ -far from monotone, consider a sequence of queries  $\mathbf{x} = (x_1, \dots, x_s)$  after which  $\mathcal{A}$  returns a *correct* decision  $\mu$ . Call such a sequence *good*, and let

$p(\mathbf{x})$  denote the probability this occurs. We know that the sum of  $p(\mathbf{x})$  over all good query sequences is at least  $(1 - \delta)$ . Now,

$$p(\mathbf{x}) := p^{x_1} \cdot p_{x_1}^{x_2}(f(x_1)) \cdot p_{(x_1, x_2)}^{x_3}(f(x_1), f(x_2)) \cdots p_{(x_1, \dots, x_s)}^\mu(f(x_1), \dots, f(x_s)).$$

Here  $p^{x_1}$  is the probability that the first point queried is  $x_1$ . Two cases arise. Suppose all of the multiplier probabilities in the right-hand side above are  $\geq 10t/\delta K$ . Then, the probability of this good sequence arising in  $\mathcal{A}'$  is at least  $(1 - \delta/10t)^t p(\mathbf{x}) \geq p(\mathbf{x})(1 - \delta/10)$ . Otherwise, suppose some probability in the right-hand side is  $< 10t/\delta K$ ; call such good sequences deficient. The total probability mass of querying deficient good sequences is at most  $10t/\delta K \cdot |\mathbf{D}|^t \leq \delta/2$ . Therefore, the probability of querying a good sequence in  $\mathcal{A}'$  is at least  $(1 - 3\delta/2)(1 - \delta/10) > 1 - 2\delta$ , where the first term is the mass on non-deficient, good sequences for  $\mathcal{A}$ . Therefore,  $\mathcal{A}'$  is a  $(t, \varepsilon, 2\delta)$  tester.  $\square$

We introduce some Ramsey theory terminology. For any positive integer  $i$ , a *finite* coloring of  $\mathbb{N}^{(i)}$  is a function  $\text{col}_i: \mathbb{N}^{(i)} \rightarrow \{1, \dots, C\}$  for some finite number  $C$ . An infinite set  $X \subseteq \mathbb{N}$  is called *monochromatic* with respect to  $\text{col}_i$  if for all sets  $A, B \in X^{(i)}$ ,  $\text{col}_i(A) = \text{col}_i(B)$ . A *k-wise* finite coloring of  $\mathbb{N}$  is a collection of  $k$  colorings  $\text{col}_1, \dots, \text{col}_k$ . (Note that each coloring is over different sized tuples.) An infinite set  $X \subseteq \mathbb{N}$  is *k-wise monochromatic* if  $X$  is monochromatic with respect to all the  $\text{col}_i$ s.

The following is a simple variant of Ramsey's original theorem. (We closely follow the proof of Ramsey's theorem as given in Chap VI, Theorem 4 of [6].)

**Theorem 2.3.** *For any k-wise finite coloring of  $\mathbb{N}$ , there is an infinite k-wise monochromatic set  $X \subseteq \mathbb{N}$ .*

*Proof.* We proceed by induction on  $k$ . If  $k = 1$ , then this is trivially true since  $C$  is finite. We now iteratively construct an infinite set of  $\mathbb{N}$ . Let  $\text{col}_1, \text{col}_2, \dots, \text{col}_k$  be a  $k$ -coloring of  $\mathbb{N}$ . Start with  $a_0$  being the minimum element in  $\mathbb{N}$ . Consider the following  $(k - 1)$ -wise coloring of  $(\mathbb{N} \setminus \{a_0\})$   $\text{col}'_1, \dots, \text{col}'_{k-1}$ , where  $\text{col}'_i(S)$  is defined to be  $\text{col}_{i+1}(S \cup a_0)$ . By the induction hypothesis, there exists an infinite  $(k - 1)$ -wise monochromatic set  $A_0 \subseteq \mathbb{N} \setminus \{a_0\}$  with respect to coloring  $\text{col}'_i$ s. That is, for  $2 \leq i \leq k$ , and any set  $S, T \subseteq A_0$  with  $|S| = |T| = i - 1$ , we have  $\text{col}_i(a_0 \cup S) = \text{col}_i(a_0 \cup T)$ . Call this color  $C_i^0$ . Denote the collection of these colors as a vector  $\mathbf{C}_0 = (C_1^0, C_2^0, \dots, C_k^0)$  where  $C_1^0 = \text{col}_1(a_0)$ .

Subsequently, let  $a_1$  be the minimum element in  $A_0$ , and consider the  $(k - 1)$ -wise coloring  $\text{col}'$  of  $(A_0 \setminus \{a_1\})$  where  $\text{col}'_i(S) = \text{col}_{i+1}(S \cup \{a_1\})$  for  $S \subseteq A_0 \setminus \{a_1\}$ . Again, the induction hypothesis yields an infinite  $(k - 1)$ -wise monochromatic set  $A_1$  as before, and similarly the vector  $\mathbf{C}_1$ . Continuing this procedure, we get an infinite sequence  $a_0, a_1, a_2, \dots$  of natural numbers, an infinite sequence of vectors of  $k$  colors  $\mathbf{C}_0, \mathbf{C}_1, \dots$ , and an infinite nested sequence of infinite sets  $A_0 \supset A_1 \supset A_2 \dots$ . Every  $A_r$  contains  $a_s, \forall s > r$  and by construction, any set  $(\{a_r\} \cup S), S \subseteq A_r, |S| = i - 1$ , has color  $C_r^i$ . Since there are only finitely many colors, some vector of colors occurs infinitely often as  $\mathbf{C}_{r_1}, \mathbf{C}_{r_2}, \dots$ . The corresponding infinite sequence of elements  $a_{r_1}, a_{r_2}, \dots$  is  $k$ -wise monochromatic.  $\square$

*Proof of Theorem 2.1.* Suppose there exists a  $(t, \varepsilon, \delta)$ -tester for functions  $f: \mathbf{D} \rightarrow \mathbb{N}$ . We need to show there is a comparison-based  $(t, \varepsilon, 2\delta)$ -tester for such functions.

By Lemma 2.2, there is a discrete  $(t, \varepsilon, 2\delta)$ -tester  $\mathcal{A}$ . Equivalently, we have the functions  $q_{\mathbf{x}, \sigma}^y$  as described in the previous section. We now describe a  $t$ -wise finite coloring of  $\mathbb{N}$ . Consider  $s \in [t]$ . Given a set  $A \subseteq \mathbb{N}^{(s)}$ ,  $\text{col}_s(A)$  is a vector indexed by  $(y, \mathbf{x}, \sigma)$ , where  $y \in \mathbf{D}'$ ,  $\mathbf{x} \in \mathbf{D}^s$ , and  $\sigma$  is a permutation of

[ $s$ ]. The value of the vector at this entry is defined to be  $q_{\mathbf{x},\sigma}^y(A)$ . The domain is finite, so the number of dimensions is finite. Since the tester is discrete, the number of possible colors entries is also finite. Applying [Theorem 2.3](#), we know the existence of a  $t$ -wise monochromatic infinite set  $\mathbf{R} \subseteq \mathbb{N}$ . By the monochromatic property, we get that for any  $y, \mathbf{x}, \sigma$ , and any two sets  $A, B \in \mathbf{R}^{(s)}$ ,  $s \leq t$ , we have  $q_{\mathbf{x},\sigma}^y(A) = q_{\mathbf{x},\sigma}^y(B)$ . That is, the algorithm  $\mathcal{A}$  is a comparison-based tester for functions  $f : \mathbf{D} \rightarrow \mathbf{R}$ .

Consider the strictly monotone map  $\phi : \mathbb{N} \rightarrow \mathbf{R}$ , where  $\phi(b)$  is the  $b$ th element of  $\mathbf{R}$  in sorted order. Now given any function  $f : \mathbf{D} \rightarrow \mathbb{N}$ , consider the function  $\phi \circ f : \mathbf{D} \rightarrow \mathbf{R}$ . Consider an algorithm  $\mathcal{A}'$  which on input  $f$  runs  $\mathcal{A}$  on  $\phi \circ f$ . More precisely, whenever  $\mathcal{A}$  queries a point  $x$ , it gets answer  $\phi \circ f(x)$ . Observe that if  $f$  is monotone (or  $\varepsilon$ -far from monotone), then so is  $\phi \circ f$ , and therefore, the algorithm  $\mathcal{A}'$  is a  $(t, \varepsilon, 2\delta)$ -tester of  $\phi \circ f$ . Since the range of  $\phi \circ f$  is  $\mathbf{R}$ ,  $\mathcal{A}'$  is comparison-based.  $\square$

### 3 Lower bounds

We assume that  $n$  is a power of 2 and set  $\ell := \log_2 n$ , and think of  $[n]$  as  $\{0, 1, \dots, n-1\}$ . For any integer  $0 \leq z < n$ , we think of the binary representation of  $z$  as an  $\ell$ -bit vector  $(z_1, z_2, \dots, z_\ell)$ , where  $z_1$  is the least significant bit (although,  $z_1$  is leftmost in the way written).

We first start with a map which allows us to reduce functions on hypergrids from those on hypercubes. The map is the following natural one:  $\phi : [n]^d \rightarrow \{0, 1\}^{d\ell}$ . For any  $\vec{y} = (y_1, y_2, \dots, y_d) \in [n]^d$ , we concatenate binary representations of the  $y_i$ s in order to get a  $d\ell$ -bit vector  $\phi(\vec{y})$ . Hence, we can transform a function  $f : \{0, 1\}^{d\ell} \rightarrow \mathbb{N}$  into a function  $\tilde{f} : [n]^d \rightarrow \mathbb{N}$  by defining  $\tilde{f}(\vec{y}) := f(\phi(\vec{y}))$ .

In [Section 3.1](#), we describe a distribution of functions over the hypercube with equal mass on monotone and  $\varepsilon$ -far from monotone functions. The key property is that for a function drawn from this distribution, any deterministic comparison based algorithm errs in classifying it with non-trivial probability. This property will be used in conjunction with the above mapping to get our final lower bound [Section 3.2](#).

#### 3.1 The hard distribution

We focus on functions  $f : \{0, 1\}^m \rightarrow \mathbb{N}$ . (Eventually, we set  $m = d\ell$ .) Given any  $x \in \{0, 1\}^m$ , we let  $\text{val}(x) := \sum_{i=1}^m 2^{i-1}x_i$  denote the number for which  $x$  is the binary representation. Here,  $x_1$  denotes the least significant bit of  $x$ .

For convenience, we let  $\varepsilon$  be a power of  $1/2$ . For  $k \in \{1, \dots, 1/2\varepsilon\}$ , we let

$$S_k := \{x : \text{val}(x) \in [2(k-1)\varepsilon 2^m, 2k\varepsilon 2^m - 1]\}.$$

Note that the sets  $S_k$  partition the hypercube, with each  $|S_k| = \varepsilon 2^{m+1}$ . In fact, each  $S_k$  is a subhypercube of dimension  $m' := m + 1 - \log(1/\varepsilon)$ , with the minimal element having all zeros in the  $m'$  least significant bits, and the maximal element having all ones in those.

We describe a distribution  $\mathcal{F}_{m,\varepsilon}$  on functions. The support of  $\mathcal{F}_{m,\varepsilon}$  consists of  $f(x) = 2\text{val}(x)$  and  $m'/(2\varepsilon)$  functions indexed as  $g_{j,k}$  with  $j \in [m']$  and  $k \in [1/(2\varepsilon)]$ , defined as follows.

$$g_{j,k}(x) = \begin{cases} 2\text{val}(x) - 2^j - 1 & \text{if } x_j = 1 \text{ and } x \in S_k, \\ 2\text{val}(x) & \text{otherwise.} \end{cases}$$

The distribution  $\mathcal{F}_{m,\varepsilon}$  puts probability mass  $1/2$  on the function  $f = 2\text{val}$  and  $\varepsilon/m'$  on each of the  $g_{j,k}$ s. All these functions take distinct values on their domain. Note that  $2\text{val}$  induces a total order on  $\{0, 1\}^m$ .

**The distinguishing problem:** Given query access to a random function  $f$  from  $\mathcal{F}_{m,\varepsilon}$ , we want a deterministic comparison-based algorithm that declares that  $f = 2\text{val}$  or  $f \neq 2\text{val}$ . We refer to any such algorithm as a *distinguisher*. Naturally, we say that the distinguisher errs on  $f$  if its declaration is wrong. We first prove a lower bound for non-adaptive distinguishers.

**Lemma 3.1.** *Any deterministic, non-adaptive, comparison-based distinguisher  $\mathcal{A}$  making fewer than  $t \leq m'/(8\varepsilon)$  queries, errs with probability at least  $1/8$ .*

*Proof.* Let  $X$  be the set of points queried by the distinguisher. Set  $X_k = X \cap S_k$ ; these form a partition of  $X$ . We say that a pair of points  $(x, y)$  captures the (unique) coordinate  $j$ , if  $j$  is the largest coordinate where  $x_j \neq y_j$ . (By largest coordinate, we refer to most significant bit.) For a set  $Y$  of points, we say  $Y$  captures coordinate  $j$  if there is a pair in  $Y$  that captures  $j$ . The main technical argument is encapsulated in the following two claims.

**Claim 3.2.** *For any  $j, k$ , if the algorithm distinguishes between  $\text{val}$  and  $g_{j,k}$ , then  $X_k$  captures  $j$ .*

*Proof.* If the algorithm distinguishes between  $\text{val}$  and  $g_{j,k}$ , there must exist  $(x, y) \in X$  such that  $\text{val}(x) < \text{val}(y)$  and  $g_{j,k}(x) > g_{j,k}(y)$ . We claim that  $x$  and  $y$  capture  $j$ ; this will also imply they lie in the same  $S_k$  since the  $m - j$  most significant bit of  $x$  and  $y$  are the same.

Firstly, observe that we must have  $y_j = 1$  and  $x_j = 0$ ; otherwise,

$$g_{j,k}(y) - g_{j,k}(x) \geq 2(\text{val}(y) - \text{val}(x)) > 0$$

contradicting the supposition. Now suppose  $(x, y)$  don't capture  $j$  implying there exists  $i > j$  which is the largest coordinate at which they differ. Since  $\text{val}(y) > \text{val}(x)$  we have  $y_i = 1$  and  $x_i = 0$ . Therefore, we have

$$g_{j,k}(y) - g_{j,k}(x) \geq 2(\text{val}(y) - \text{val}(x)) - 2^j - 1 \geq (2^i + 2^j) - \sum_{1 \leq r < i} 2^r - 2^j - 1 > 0.$$

So,  $x, y$  capture  $j$  and lie in the same  $S_k$ . If  $k' \neq k$ , then again  $g_{j,k}(y) - g_{j,k}(x) = 2(\text{val}(y) - \text{val}(x)) > 0$ . Therefore,  $X_k$  captures  $j$ .  $\square$

**Claim 3.3.** *A set  $Y$  of points captures at most  $|Y| - 1$  coordinates.*

*Proof.* We apply induction on  $|Y|$ . When  $|Y| = 2$ , this is trivially true. Otherwise, pick the largest coordinate  $j$  captured by  $Y$  and let  $Y_0 = \{y : y_j = 0\}$  and  $Y_1 = \{y : y_j = 1\}$ . By induction,  $Y_0$  captures at most  $|Y_0| - 1$  coordinates, and  $Y_1$  captures at most  $|Y_1| - 1$  coordinates. Pairs  $(x, y) \in Y_0 \times Y_1$  only capture coordinate  $j$ . Therefore, the total number of captured coordinates is at most

$$|Y_0| - 1 + |Y_1| - 1 + 1 = |Y| - 1. \quad \square$$

We now complete the proof of [Lemma 3.1](#). If  $|X| \leq m'/8\epsilon$ , then there exist at least  $1/4\epsilon$  values of  $k$  such that  $|X_k| \leq m'/2$ . By [Claim 3.2](#) and [Claim 3.3](#), each such  $X_k$  captures at most  $m'/2$  coordinates. Therefore, there exist at least

$$\frac{1}{4\epsilon} \cdot \frac{m'}{2} = \frac{m'}{8\epsilon}$$

functions  $g_{j,k}$  that are indistinguishable from the monotone function `2val` to a comparison-based procedure that queries  $X$ . This implies the distinguisher must err (make a mistake on either these  $g_{j,k}$ s or `2val`) with probability at least

$$\min\left(\frac{\epsilon}{m'} \cdot \frac{m'}{8\epsilon}, \frac{1}{2}\right) = \frac{1}{8}. \quad \square$$

A basic proposition reduces adaptive distinguishers to non-adaptive ones. This crucially uses the total order given by `val`( $x$ ).

**Proposition 3.4.** *Suppose there exists a deterministic comparison-based distinguisher  $\mathcal{A}$  that makes at most  $t$  queries for inputs drawn from distribution  $\mathcal{F}_{m,\epsilon}$ . Then there exists a deterministic non-adaptive comparison-based distinguisher  $\mathcal{A}'$  making at most  $t$  queries whose probability of error on inputs from  $\mathcal{F}_{m,\epsilon}$  is at most that of  $\mathcal{A}$ .*

*Proof.* We represent  $\mathcal{A}$  as a comparison tree. For any path in  $\mathcal{A}$ , the total number of distinct domain points involved in comparisons is at most  $t$ . Note that `2val`( $x$ ) is a total order, since for any  $x, y$  either `val`( $x$ ) < `val`( $y$ ) or vice versa. We say that a comparison between  $f(x)$  and  $f(y)$  is *inconsistent* with `val` if  $f(x) < f(y)$ , `val`( $x$ ) > `val`( $y$ ) or vice versa. We construct a comparison tree  $\mathcal{A}'$  where we simply reject whenever a comparison is inconsistent with the total order, and otherwise mimics  $\mathcal{A}$ . The comparison tree of  $\mathcal{A}'$  has an error probability at most that of  $\mathcal{A}$  since it never errs when  $\mathcal{A}$  doesn't err. Furthermore, the tree is just a path and thus can be modeled as a non-adaptive distinguisher as follows. We simply query upfront all the points involving points on this path, and make the relevant comparisons for the output.  $\square$

Our main lemma is a direct consequence of [Proposition 3.4](#) and [Lemma 3.1](#).

**Lemma 3.5.** *Any deterministic comparison-based distinguisher that makes less than  $m'/(8\epsilon)$  queries errs with probability at least  $1/8$  on a function drawn from  $\mathcal{F}_{\epsilon,m}$ .*

## 3.2 The final bound

Recall, given function  $f : \{0, 1\}^{d\ell} \rightarrow \mathbb{N}$ , we have the function  $\tilde{f} : [n]^d \rightarrow \mathbb{N}$  by defining  $\tilde{f}(\vec{y}) := f(\phi(\vec{y}))$ . We start with the following observation.

**Proposition 3.6.** *The function  $\widetilde{2\text{val}}$  is monotone and every  $\widetilde{g_{j,k}}$  is  $\epsilon/2$ -far from being monotone.*

*Proof.* Let  $\vec{u}$  and  $\vec{v}$  be elements in  $[n]^d$  such that  $\vec{u} \prec \vec{v}$ . We have `val`( $\phi(\vec{u})$ ) < `val`( $\phi(\vec{v})$ ), so  $\widetilde{2\text{val}}$  is monotone. For the latter, it suffices to exhibit a matching of violated pairs of cardinality  $\epsilon 2^{d\ell}$  for  $\widetilde{g_{j,k}}$ . This is given by pairs  $(\vec{u}, \vec{v})$  where  $\phi(\vec{u})$  and  $\phi(\vec{v})$  only differ in their  $j$ th coordinate, and are both contained in  $S_k$ . Note that these pairs are comparable in  $[n]^d$  and are violations.  $\square$

**Theorem 3.7.** Any  $(t, \varepsilon/2, 1/16)$ -monotonicity tester for  $f : [n]^d \rightarrow \mathbb{N}$ , must have

$$t \geq \frac{d \log n - \log(1/\varepsilon)}{8\varepsilon}.$$

*Proof.* By [Theorem 2.1](#), it suffices to show this for comparison-based  $(t, \varepsilon/2, 1/8)$  testers. By Yao's minimax lemma, it suffices to produce a distribution  $\mathcal{D}$  over functions  $f : [n]^d \rightarrow \mathbb{N}$  such that any deterministic comparison-based  $(t, \varepsilon/2, 1/8)$ -monotonicity tester for  $\mathcal{D}$  must have  $t \geq s$ , where

$$s := \frac{d \log n - \log(1/\varepsilon)}{8\varepsilon}.$$

Consider the distribution  $\mathcal{D}$  where we generate  $f$  from  $\mathcal{F}_{m,\varepsilon}$  and output  $\tilde{f}$ . Suppose  $t < s$ . By [Proposition 3.6](#), the deterministic comparison based monotonicity tester acts as a deterministic comparison-based distinguisher for  $\mathcal{F}_{m,\varepsilon}$  making fewer than  $s$  queries, contradicting [Lemma 3.1](#).  $\square$

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